GENERAL ASSEMBLY OF NORTH CAROLINA

SESSION 1997

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HOUSE BILL 1098 Committee Substitute Favorable 4/24/97

Short Title: Special Education Mediation.

(Public)

Sponsors:

Referred to:

April 21, 1997

1	A BILL TO BE ENTITLED
2	AN ACT TO AMEND THE PROCEDURE FOR MEDIATION OF SPECIAL
3	EDUCATION DISPUTES.
4	The General Assembly of North Carolina enacts:
5	Section 1. G.S. 115C-116(b) reads as rewritten:
6	"(b) Mediation Mediation of disputes or disagreements regarding the
7	identification of children with special needs and the provision of special education for
8	children with special needs prior to formal administrative review is encouraged. If a
9	request for formal administrative review has not been filed, the superintendent, upon the
10	request of a parent, guardian, or surrogate parent, shall meet, or designate an assistant or
11	associate superintendent to meet, with the parent, guardian, or surrogate parent to attempt
12	to resolve the dispute or disagreement. The meeting shall be informal and the General
13	Assembly intends that the meeting shall be nonadversarial, as required by G.S. 150B-22.
14	It is the policy of this State to encourage local educational agencies and parents,
15	guardians, surrogate parents, custodians, and eligible students to seek informal resolution
16	of disputes or disagreements regarding the identification of children with special needs
17	and the provision of special education and related services before filing a request for a
18	formal administrative review of the matter. To that end, the following provisions apply
19	to the mediation of these disputes:

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1	<u>(1)</u>	Purpose The purpose of mediation is to clarify the concerns of the
2	<u>1,-</u> ,/	parents and to resolve disputes.
3	<u>(2)</u>	Definitions. – As used in this subsection, the following terms have the
4	<u>\=</u>)	following meanings:
5		<u>a.</u> <u>'Dispute' means a disagreement between the parties that is</u>
6		<u>subject to review under subsection (c) of this section.</u>
0 7		<u>b.</u> <u>'Mediation' means an informal process conducted by a mediator</u>
8		with the objective of helping parties voluntarily settle their
9		dispute.
10		<u>c.</u> <u>'Mediator' means a neutral person who acts to encourage and</u>
10		facilitate a resolution of a dispute.
12		<u>d.</u> <u>'Parents' means parents, guardians, surrogate parents, custodians,</u>
12		and eligible students.
13		
14	<u>(3)</u>	<u>e.</u> <u>Parties' means the local educational agency and the parents.</u> Nonadversarial. – The mediation shall be informal and nonadversarial
15	<u>(5)</u>	as provided in G.S. 150B-22.
17	<u>(4)</u>	<u>Rules of procedure. – The mediator is encouraged to follow applicable</u>
18	<u></u>	procedures provided in G.S. 7A-38.1, G.S. 7A-38.2, and applicable
19		rules adopted by the Supreme Court under G.S. 7A-38.1. The mediator
20		may establish other procedures to facilitate an informal resolution of the
20		dispute. The mediator shall not render a decision or judgment as to the
22		merits of the dispute.
22	<u>(5)</u>	<u>Request for mediation. – Before a request for formal administrative</u>
23	<u>(5)</u>	review is filed, mediation shall commence upon the request of either
25		party, so long as the other party consents.
26	<u>(6)</u>	<u>Selection of mediator. – The parties shall agree to the selection of the</u>
20	(0)	mediator. The Exceptional Children Division of the Department of
28		Public Instruction shall maintain a list of mediators who are certified or
29		trained in resolving disputes under this subsection.
30	<u>(7)</u>	Notice of right to mediation. – The local educational agency shall notify
31		parents of their right to request mediation under this subsection.
32	<u>(8)</u>	<u>Time periods tolled. – Notwithstanding G.S. 150B-23, time periods</u>
33	<u>(0)</u>	related to the filing of a formal administrative review or the taking of
34		any other action with respect to the dispute, including any applicable
35		statutes of limitations, are tolled upon the filing of a request for
36		mediation under this subsection until the mediation is completed or the
37		mediator declares an impasse.
38	(9)	<u>Good cause for continuance. – A good faith effort by both parties to</u>
38 39	<u>(9)</u>	<u>mediate the dispute is presumed to constitute good cause for a</u>
39 40		continuance so long as the administrative law judge does not find that
40 41		• • •
41 42		the time delay for mediation would likely result in irreparable harm to
42		one of the parties or to the child.

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1	<u>(10)</u>	Inadmissibility of negotiations Evidence of statements made and
2		conduct occurring in a mediation shall not be subject to discovery and
3		shall be inadmissible in any proceeding in the action or other actions on
4		the same claim. However, no evidence otherwise discoverable shall be
5		inadmissible merely because it is presented or discussed in a mediation.
6		Mediators shall not be compelled in any civil proceeding to testify or
7		produce evidence concerning statements made and conduct occurring in
8		a mediation.
9	<u>(11)</u>	Mediator's fees. – If mediation is requested before a request for formal
10		administrative review is filed, the local educational agency shall pay the
11		mediator's fees for one mediation session. If resolution is not reached in
12		that session, the parties must agree to continue the mediation. The local
13		educational agency shall pay any mediator fees for subsequent
14		mediation sessions unless the parties agree otherwise.
15	<u>(12)</u>	Mediated settlement conference after a request for administrative
16		review In addition to mediation as provided by this subsection, the
17		parties may participate in a mediated settlement conference as provided
18		<u>by G.S. 150B-23.1.</u>
19	<u>(13)</u>	Promotion of other settlement procedures The parties may agree to
20		use other dispute resolution methods or to use mediation in other
21		circumstances, including after a request for formal administrative
22		review is filed, to the extent permitted under State and federal law."
23	Section	on 2. This act is effective when it becomes law.